

### Appendix 3

#### **REQUEST for recategorisation as a professional client, on the initiative of the client On the grounds of Section II of Appendix to § 1, item 10 of the Markets in Financial Instruments Act**

The undersigned **«Name»** PIN / UIC **«PIN»**, represented by  
....., client of II  
Elana Trading AD,

In view of my own assessment that I possess the necessary experience, knowledge and skills to make investment decisions on my own and to properly assess the risks of investing, I declare that I wish to be treated as a professional client in respect of:

- all investment services and activities, transactions or investment products;
- specific activities and services, as follows:

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I hereby declare that I meet at least two of the following criteria for a professional client:

- over the previous year, I have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter;
- the size of my investment portfolio, including financial instruments and cash deposits, exceeds the BGN equivalence of EUR 500,000;
- I work / I have worked in the financial sector for at least one year in a professional position which requires knowledge of the transactions or services envisaged.

I hereby present the following proof of the above:

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1. I have been informed by the II that as a professional client I will not benefit from the relevant protection in the provision of services and the performance of activities by the investment intermediary, as well as from the right to be compensated by the Financial Instruments Investor Compensation Fund, as well as of the potential consequences thereof;
2. I shall notify the II of any change in the data that served as a basis for me to be categorised as a professional client.

I am aware of the liability under Article 313 of the Penal Code.

For the Client: \_\_\_\_\_



Date: \_\_\_\_\_

**Based on the information provided by the client, II Elana Trading AD hereby adopts that:**

- The client may be recategorised as a professional one in respect of:**
- all investment services and activities, transactions or investment products;
- specific activities and services, as follows:

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- The client may not be recategorised as a professional one for the following reasons:**

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For II Elana Trading AD: \_\_\_\_\_

Date: \_\_\_\_\_